



PT+
JCI 2001 CT/PTO 11 JUN 2001 #6

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Toshiaki NAGAI

Application No.: 09/807,222

Filed: April 11, 2001

Docket No.: 109159

For: NETWORK TRADING SYSTEM AND METHOD

5 L10
RECEIVED

20 JUL 2001

Legal Staff
International Division

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 3. A concise explanation of the relevance of non-English language reference 8 is attached hereto.
- ☒ 4. English-language Abstracts of non-English language References 5 and 7 are attached hereto.

- ☒ 5. Reference 2 corresponds with References 1, 3, 4 and 6.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/kaf

Date: June 11, 2001

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE
AUTHORIZATION**

Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461

Form PTO-1449
(REV. 8-83)US Dept. of Commerce
PATENT & TRADEMARK OFFICEATTY DOCKET NO.
109159APPLICATION NO.
09/807,222

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT
Toshiaki NAGAIFILING DATE
April 11, 2001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1	5,657,389	08-12-1997	Houvener		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	2	WO 96/36148	11-14-1996	WIPO		
	3	AU 702919	01-23-1997	Australia		
	4	CN 1183816 A	06-03-1998	China		
	5	JP 11-203323 A (w/abstract)	07-30-1999	Japan		
	6	JP 11-509015 A	08-03-1999	Japan		
	7	JP 10-32598 A (w/abstract)	02-03-1998	Japan		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	8	Nikkei Johou Strategy, No. 81 (Japan), Nikkei BP K.K. (12-24-1998), pages 58-66. (w/partial translation)

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

